

FOOD AND DRUG ADMINISTRATION
CENTER FOR DEVICES AND RADIOLOGICAL HEALTH

OPHTHALMIC DEVICES PANEL

107TH MEETING

THURSDAY,
FEBRUARY 5, 2004

The Panel met at 9:00 a.m. in Salons B-D of the Gaithersburg Marriott Washingtonian Center, 9751 Washingtonian Boulevard, Gaithersburg, Maryland, Jayne S. Weiss, M.D., Chair, presiding.

PRESENT:

JAYNE S. WEISS, M.D., Chair
ARTHUR BRADLEY, Ph.D., Voting Member
ANNE L. COLEMAN, M.D., Ph.D., Voting Member
MICHAEL R. GRIMMETT, M.D., Voting Member
WILLIAM D. MATHERS, M.D., Voting Member
TIMOTHY T. McMAHON, O.D., F.A.A.O., Voting Member
KAREN BANDEEN-ROCHE, Ph.D., Consultant
RICHARD CASEY, M.D., Consultant
ANDREW J. HUANG, M.D., M.P.H., Consultant
MARIAN S. MACSAI-KAPLAN, M.D., Consultant
OLIVER D. SCHEIN, M.D., M.P.H., Consultant
JANINE A. SMITH, M.D., Consultant
WOODFORD S. VAN METER, M.D., Consultant
GLENDA V. SUCH, M.Ed., Consumer Representative
ANDREW K. BALO, Acting Industry Representative
SARA M. THORNTON, Executive Secretary

FDA REPRESENTATIVES:

EVERETTE T. BEERS, Ph.D.
GERRY W. GRAY, Ph.D.
BERNARD P. LEPRI,, O.D., M.S., M.Ed.
DONNA R. LOCHNER
JEFFREY TOY, Ph.D.
A. RALPH ROSENTHAL, M.D.

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OPEN MEETING ADJOURNED

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P-R-O-C-E-E-D-I-N-G-S

9:01 a.m.

DR. WEISS: I'm going to ask everyone to take their seat, please. We'll be starting shortly. I would like to call this meeting of the Ophthalmic Devices Panel to order and note that there is a quorum present. We will have introductory remarks by Sally Thornton.

MS. THORNTON: Good morning. Permit me to introduce myself. I'm Sara Thornton, Executive Secretary for the panel. On behalf of the FDA I would like to welcome you to the 107th meeting of the Ophthalmic Devices Panel.

Before we proceed with today's agenda, I have a few short announcements to make. First of all, I would like to remind everyone to please sign in on the attendance sheet on the registration area just outside the meeting room here. All public handouts for today's meeting are available at the registration table.

Messages for panel members and FDA participants, information, or special needs should be

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1 directed through Ms. AnnMarie Williams who is
2 available at the registration area. The phone number
3 for calls to the meeting area is (301) 590-0044.

4 In consideration of the panel, the sponsor
5 and the Agency, we ask that those of you with cell
6 phones and pagers either turn them off or put them on
7 vibration mode while in this room and to make your
8 calls, please, outside the meeting area. Note the
9 flyers on the door.

10 Lastly, will all meeting participants
11 please speak directly into the microphone and give
12 your name clearly so that the transcriber will have an
13 accurate recording of your comments.

14 Now, at this time I would like to announce
15 the voting member appointment of Dr. William Mathers
16 of the Casey Eye Institute in Portland, Oregon. Dr.
17 Mathers has been appointed to serve until October 31st
18 of 2007.

19 I would like to welcome our Acting
20 Industry Representative, Mr. Andrew Balo, Vice
21 President for Regulatory and Clinical Affairs with
22 DEXCOM, Inc. in San Diego, California. Mr. Balo also

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1 serves as the Industry Representative on the
2 Neurological Devices Panel. Mr. Balo is sitting in
3 for our panel Industry Representative Mr. Ronald
4 McCarley who has recused himself from today's panel
5 deliberations.

6 Will the remaining panel members please
7 introduce themselves beginning with Glenda.

8 MS. SUCH: Glenda Such, Lighthouse
9 International, Consumer Representative.

10 MR. BALO: Andy Balo, Industry
11 Representative.

12 DR. SCHEIN: Oliver Schein, Wilmer Eye
13 Institute, Johns Hopkins.

14 DR. BANDEEN-ROCHE: Karen Bandeen-Roche,
15 Department of Biostatistics, Johns Hopkins.

16 DR. McMAHON: Timothy McMahon, Department
17 of Ophthalmology, University of Illinois at Chicago.

18 DR. BRADLEY: Arthur Bradley, School of
19 Optometry, Indiana University.

20 DR. MACSAI: Marian Macsai, Evanston
21 Northwestern Healthcare, Northwestern University.

22 DR. GRIMMETT: Michael Grimmett, the

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1 Bascom Palmer Eye Institute, the University of Miami.

2 DR. WEISS: Jayne Weiss, Kresge Eye
3 Institute, Wayne State University School of Medicine.

4 DR. MATHERS: Bill Mathers, Oregon Health
5 Sciences University.

6 DR. CASEY: Richard Casey, Charles Drew
7 University, Jules Stein Eye Institute, Los Angeles.

8 DR. COLEMAN: Anne Coleman, Jules Stein
9 Eye Institute, UCLA.

10 DR. VAN METER: Woody Van Meter, the
11 University of Kentucky in Lexington.

12 DR. HUANG: Andrew Huang, University of
13 Minnesota.

14 DR. ROSENTHAL: Ralph Rosenthal, Division
15 of Ophthalmic and ENT Devices, FDA.

16 MS. THORNTON: I'd like to just announce
17 that Dr. Janine Smith who will be in attendance at the
18 panel will be here in a very short time.

19 I'd like to now read the conflict of
20 interest statement for the meeting on February 5,
21 2004. The following announcement addresses conflict
22 of interest issues associated with this meeting and is

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1 made part of the record to preclude even the
2 appearance of an impropriety.

3 To determine if any conflict existed, the
4 Agency reviewed the submitted agenda for this meeting
5 and all financial interest reported by the committee
6 participants. The conflict of interest statutes
7 prohibit special government employees from
8 participating in matter that could affect their or
9 their employer's financial interest.

10 The Agency has determined, however, that
11 the participation of certain members and consultants,
12 the need for whose services outweighs the potential
13 conflict of interest involved, is in the best interest
14 of the government. Therefore, waivers have been
15 granted for Drs. Michael Grimmett, Oliver Schein, and
16 Woodford Van Meter for their interest in firms that
17 could potentially be affected by the panel's
18 recommendations.

19 Dr. Grimmett's waiver involves an imputed
20 interest, a grant to his institution for the sponsor
21 study in which he has no involvement and is
22 uncompensated. Dr. Oliver Schein's waiver involves

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1 two consulting arrangements, one pending for a
2 competitor's unrelated device for which he has not
3 received any compensation, and the second with a
4 competitor's unrelated device for which he receives an
5 annual fee between \$10,000 and \$50,000. Dr. Van
6 Meter's waiver involves an imputed interest, a
7 stockholding in the parent of a competing technology
8 firm in which the value is greater than \$100,000.

9 The waivers allow these individuals to
10 participate fully in today's deliberations. Copies of
11 these waivers may be obtained from the Agency's
12 Freedom of Information Office, Room 12A15 of the
13 Parklawn Building. We would like to note for the
14 record that the Agency took into consideration other
15 matters regarding Drs. Anne Coleman, Arthur Bradley,
16 Michael Grimmett, Andrew Huang, Marian Macsai, Oliver
17 Schein, and Jayne Weiss.

18 Each of these panelists reported past or
19 current interest involving firms at issue but in
20 matters that are not related to today's agenda. The
21 Agency has determined, therefore, that the panelists
22 may participate fully in all discussions.

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1 In the event that the discussions involve
2 any other products or firms not already on the agenda
3 for which an FDA participant has a financial interest,
4 the participant should excuse him or herself from such
5 involvement and the exclusion will be noted for the
6 record.

7 With respect to all other participants we
8 ask in the interest of fairness that all persons
9 making statements or presentations disclose any
10 current or previous financial involvement with any
11 firm whose products they may wish to comment upon.

12 I would like to now read the appointment
13 to temporary voting status. Pursuant to the authority
14 granted under the Medical Devices Advisory Committee
15 Charter dated October 27, 1990, and as amended August
16 18, 1999, I appoint the following individuals as
17 voting members of the Ophthalmic Devices Panel for
18 this meeting on February 5/6, 2004.

19 Karen Bandeen-Roche, Ph.D., Richard Casey,
20 M.D., Marian S. Macsai-Kaplan, M.D., Oliver Schein,
21 M.D., Andrew Huang, M.D., Janine Smith, M.D., Woodward
22 Van Meter, M.D.

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1 For the record, these individuals are
2 special government employees and consultants to this
3 panel or other panels under the Medical Devices
4 Advisory Committee. They have undergone the customary
5 conflict of interest review and have reviewed the
6 material to be considered at this meeting. Signed,
7 David W. Feigal, Jr., M.D., MPH, Director, Center for
8 Devices and Radiological Health. Dated January 20,
9 2004.

10 Thank you, Dr. Weiss.

11 DR. WEISS: Thank you, Sally.

12 We will now open the open public hearing.

13 I will read a statement which was requested by the
14 FDA.

15 "Both the Food and Drug Administration and
16 the public believe in a transparent process for
17 information gathering and decision making. To ensure
18 such transparency of the open public hearing session
19 of the Advisory Committee, FDA believes that it is
20 important to understand the context of an individual's
21 presentation.

22 For this reason, the FDA encourages you,

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1 the open public hearing speaker, at the beginning of
2 your written or oral statement to advise the committee
3 of any financial relationship that you may have with
4 the sponsor, its product and, if known, its direct
5 competitors.

6 For example, this financial information
7 may include the sponsor's payment of your travel,
8 lodging, or other expenses in connection with your
9 attendance at the meeting. Likewise, the FDA
10 encourages you at the beginning of your statement to
11 advise the committee if you do not have such financial
12 relationships. If you choose not to address this
13 issue of financial relationships at the beginning of
14 your statement, it will not preclude you from
15 speaking."

16 I would call Glenn Hagele to the podium as
17 the first public speaker. You have up to 10 minutes.

18 MR. HAGELE: I need some assistance with
19 the video. Dr. Weiss, with your permission, could I
20 come after the following speaker?

21 DR. WEISS: Why don't you stay up there if
22 they can arrange that, Mr. Hagele, because we have a

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1 written letter from someone and perhaps we can use
2 this window of time to read the letter while you're
3 preparing your --

4 MR. HAGELE: Thank you.

5 DR. WEISS: If that would be agreeable.

6 Sally Thornton has a letter that was sent
7 in from someone who wanted to participate in the open
8 public hearing but was not able to appear.

9 MS. THORNTON: This is a letter from Peter
10 D. Van Patten, M.D., the Duluth Clinic Virginia in
11 Virginia, Minnesota.

12 "Dear Ms. Thornton. I had planned to make
13 a short presentation at today's meeting but could not
14 attend due to a scheduling conflict. If possible I
15 would like to have my following comments read into the
16 record during the appropriate time slot of the
17 meeting.

18 My name is Peter D. Van Patten. I have
19 practiced ophthalmology since 1991. I am also a
20 subject in the U.S. clinical study of the ARTISAN
21 Myopia Lens and have bilateral ARTISAN implants. I
22 have no financial interest in the ARTISAN lens or

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1 Ophtec, the sponsor of this study.

2 The purpose of my testimony is to provide
3 additional information to the FDA and the FDA panel
4 for consideration during today's discussions. Prior
5 to receiving ARTISAN lenses my refractions were -10.0
6 X -0.75 in both eyes. Previously I was having
7 increasing problems with contact lens wear to the
8 point where the symptoms became intolerable.

9 After considering all available options, I
10 decided to proceed with the ARTISAN lens implant. My
11 left eye received the ARTISAN lens in February '99,
12 five years ago, and my right eye received the lens in
13 March 2001, nearly three years ago.

14 My current refractions are -0.75 X -0.5 in
15 the left eye and plano X -0.5 in the right eye. I
16 have an uncorrected acuity of 20/30 in the left eye
17 correctable to 20/20 and 20/20 uncorrected vision in
18 the right eye correctable to 20/15. My outcomes were
19 very successful and my overall vision is excellent.

20 I typically wear glasses only for night
21 driving. I have experienced mild night glare on
22 occasion postoperatively that was not present prior to

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1 receiving the lenses. However, I would rate the level
2 of glare as minimal.

3 I have had significant functional
4 improvements during my high visual demand activities
5 such as ophthalmic surgery. Also, I would rate my
6 daytime vision as suburb. I consider both procedures
7 to be a success. Over the past five years I have
8 continued to discuss the ARTISAN lens as an important
9 investigational surgical option with my patients whom
10 I found to be appropriate candidates for open ARTISAN
11 lens clinical trials.

12 Based on my experience as a subject in
13 this study, it is my opinion that the ARTISAN lens is
14 a safe and effective lens when implants by a skilled
15 surgeon. I would ask that you consider my comments
16 during your discussions and hope that you are able to
17 make a favorable recommendation today so as to make
18 this technology available to others who seek
19 correction for high myopia. Sincerely, Peter D. Van
20 Patten, M.D."

21 DR. WEISS: Thank you, Sally.

22 Mr. Hagele, are you ready?

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1 MR. HAGELE: We are coming up momentarily.

2 DR. WEISS: Sounds good. If you're still
3 having difficulty, I understand that Ms. Woodlock does
4 not have slides so she perhaps could do her
5 presentation while you are getting that ready.

6 MR. HAGELE: Thank you.

7 DR. WEISS: Ms. Woodlock. Would you mind,
8 perhaps, giving your presentation from the table
9 instead? Thank you.

10 MS. WOODLOCK: I am Leslie Woodlock,
11 Patient Advocate of the Surgical Eyes Foundation. We
12 are a nonprofit organization whose constituency is
13 consumers with sub-optimal outcomes from refractive
14 surgery. Our goals are simply to raise awareness of
15 the risks of elective eye surgery, provide support and
16 identify solutions for patients living with
17 complications, and advocate for informed decision
18 making. I personally became involved with the Surgical
19 Eyes Foundation after failed LASIK surgery in 2000.

20 I am here today to discuss the safety of
21 phakic IOLs. While much of SEF's concern with the ICL
22 was discussed at this panel's meeting on October 3,

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1 2003, we would like the panel to address the following
2 issues:

3 Diameter selection is critical for
4 centration of this device since under sizing could
5 result in a failure of the lens to vault the anterior
6 capsule properly, resulting in contact of the device
7 with the capsule and subsequent anterior cortical
8 cataract development.

9 The need for a tight fit is recognized by
10 the applicant and yet selection of the ICL diameter is
11 to be based on the white-to-white measurement. Since
12 no exacting correlation between the white-to-white
13 measurement and the ciliary sulcus diameter exists,
14 how will patients be protected from secondary cataract
15 development?

16 The increasing thickness of the
17 physiologic lens with aging as well as during
18 accommodation means that the desired post-operative
19 vault of the ICL will fluctuate and actually diminish
20 over time. This has the potential to accelerate the
21 development of anterior cortical cataracts.

22 The incidence of endothelial cell loss is

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1 a repeated concern throughout the previous discussion.
2 In the event that the ICL must be removed following a
3 noted progression of anterior capsular opacities,
4 there is no evidence suggesting that explantation of
5 the ICL will be less harmful to the endothelium than
6 its continued presence.

7 Further, in cases of either device-induced
8 or naturally occurring cataracts, the ICL will have to
9 be explanted before the implantation of a pseudophakic
10 IOL. Clearly, for all patients, a second and possibly
11 third intraocular procedure must be entertained with
12 further potential for loss of endothelial cells.

13 Continuing with our concern for loss of a
14 functional endothelium, the dynamics of a shallow
15 anterior chamber depth and progressive endothelial
16 cell loss is unknown at this time. Most cases of
17 Fuchs' endothelial dystrophy do not become clinically
18 evident until patients are approaching their fifth
19 decade. Will implantation of the ICL result in an even
20 earlier loss of endothelial integrity and, ultimately,
21 penetrating keratoplasty?

22 These patients would appear to be at even

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1 higher risk for endothelial cell loss regardless of an
2 allowable standard for minimal anterior chamber depth
3 of 2.8 or 3 mm. It is not possible to assess risk for
4 younger individuals at the time the ICL is implanted
5 since they will not have visible indications for the
6 condition.

7 Revisiting the effects of aging of the
8 physiologic lens, another consequence is the
9 shallowing of anterior chamber. The applicant has
10 found a correlation of shallow anterior chamber depth
11 to endothelial cell loss. It is reasonable to suspect
12 that aging of the crystalline lens and subsequent
13 reduction of the anterior chamber depth will put older
14 patients at increased risk for decompensation of their
15 corneas secondary to endothelial dystrophy.

16 In regard to implantation of this device,
17 typically the risk of cystoid macular edema increases
18 with each intraocular surgical procedure. In the case
19 of device-induced cataracts with subsequent
20 explantation followed by implantation of a
21 pseudophakic IOL, the potential for CME would be
22 significantly greater.

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1 Correct positioning of the ICL requires a
2 tight sulcus to sulcus fit with anterior displacement
3 of the iris. The fact that the potential narrowing of
4 the anterior chamber angles following implantation was
5 not consistently examined via gonioscopy in the PMA
6 suggests only a cursory concern with the potential for
7 narrow angle glaucoma. Patients with naturally narrow
8 anterior chamber angles as well as those whose angles
9 will narrow subsequent to aging, are at higher risk
10 for development of glaucoma.

11 The presence of the ICL vaulting above the
12 anterior capsule changes the dynamic of the posterior
13 iris and its contact with the anterior capsule. The
14 potential for pigment dispersion is very real as the
15 ICL haptics rub against the posterior iris.

16 Pigment dispersion has a known occurrence
17 in the general population but does not manifest until
18 the fifth decade. Implantation of this device in
19 younger patients with a predilection for pigment
20 dispersion will quite conceivably accelerate the
21 process and lead to pigmentary glaucoma.

22 Anterior cortical cataracts, narrow angle

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1 glaucoma, pigmentary glaucoma and endothelial
2 dystrophy are naturally occurring conditions but are
3 potential complications of the ICL. A very real
4 possibility exists that health insurers will not cover
5 the cost of treatment for these conditions since they
6 could be viewed as secondary to an elective procedure.

7 SEF is already aware of patients receiving
8 corneal transplants following corneal refractive
9 surgery who were denied reimbursement by their health
10 insurer for this very reason. The negative impact on
11 the patient is two fold. Either they will be denied
12 coverage for a naturally occurring medical condition
13 or they will have to pay for the deniable
14 complications secondary to an elective surgery.

15 The optical diameter of the ICL is listed
16 as 4.65 to 5.5 mm. While the diameter of a posterior
17 chamber ICL cannot be compared to the typical, stated
18 ablation diameters of LASIK and PRK, it is interesting
19 that the optical diameter is so small. Pseudophakic
20 IOLs are typically in the 6.0 mm range and there are
21 still patients who will notice glare and halos under
22 low light conditions.

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1 Using our knowledge of pseudophakics'
2 experience as a guide and, given that the population
3 having ICL surgery would typically be much younger
4 with larger pupils, it would seem very certain that
5 many individuals will experience unwanted glare and
6 haloes from spherical aberrations created by the
7 uncorrected rays of light passing through the
8 peripheral physiologic lens.

9 Continuing on with the discussion of the
10 optical diameter effects, it is necessary to mention
11 the recent publication of Dr. Steven C. Schallhorn's
12 study suggesting the irrelevance of pupil size to
13 visual quality under mesopic and scotopic light
14 conditions, in particular, that pupil size does not
15 correlate with night driving performance.

16 This oft touted study, however, does
17 nothing to explain why numerous journal articles by
18 leading refractive surgeons suggest the use of
19 brimonidine tartrate (Alphagan), an adrenergic agonist
20 that suppresses pupil dilation to produce a relative
21 miosis, as well the direct-acting miotic, pilocarpine,
22 be used post-operatively to suppress the ill-effects

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1 of night time driving in refractive surgery patients.

2 The Surgical Eyes Foundation bulletin
3 board is overflowing with empirical evidence from our
4 patients and our participating doctors of the
5 effectiveness of pupil constricting agents in the
6 reduction of low light glare and halos. Our bulletin
7 board already has one ICL patient complaining of this
8 very thing and two well-known refractive surgeons
9 recommended Alphagan as the remedy.

10 With regard to quality of vision, we ask
11 that PMAs for all forms of vision correction devices
12 be stratified by pupil size. The PDA should mandate
13 that quality of vision be measured objectively with
14 wavefront and other objective tests that have been
15 utilized by optical scientists like Dr. Raymond
16 Applegate that stratify results by pupil size, and
17 that these results be published and made readily
18 available to consumers with regard to any form of
19 vision correction device.

20 We have had many patients of all ages with
21 large pupils post on our bulletin board about
22 nighttime visual aberrations, regardless of refractive

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1 error. We understand that an effective optical zone on
2 the corneal surface and the optic diameter of a lens
3 that sits behind the iris are not comparable; however,
4 we feel very strongly that patients with large pupils
5 are at risk with this device.

6 One common experience of patients visiting
7 our web site and bulletin board is in regards to the
8 informed consent agreement. While explanations of
9 potential visual and physiological complications are
10 discussed, patients typically do not understand the
11 chronic and irreversible nature of those
12 complications.

13 Informed consent continues to be a major
14 concern of SEF for elective refractive surgery.
15 Unnatural visual effects seem to impact deeply on many
16 patients sense of well being. The psychological
17 emotional aspects of vision complications are not
18 something potential patients can understand or be
19 prepared to accept following negative outcomes.

20 This completes my presentation. On behalf
21 of the board of trustees of the Surgical Eyes
22 Foundation and our constituency, I wish to thank the

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1 Advisory Panel for the opportunity to express our
2 concerns. Thank you very much.

3 DR. WEISS: Thank you very much.

4 And you will be limited to 10 minutes for
5 your presentation.

6 MR. HAGELE: That should be more than
7 adequate. Good morning and thank you for the
8 opportunity to address this panel. My name is Glenn
9 Hagele. I am the Executive Director and founder of the
10 Council for Refractive Surgery Quality Assurance,
11 which from this point forward I will refer to by its
12 acronym CRSQA.

13 In the way of disclaimer, I have no
14 financial interest in AMO or the ARTISAN phakic
15 intraocular lens. My travel here today is self-funded.
16 Although I am the Executive Director of CRSQA, the
17 opinions I express are my own and do not necessarily
18 represent the opinions of individuals affiliated with
19 CRSQA.

20 CRSQA is a nonprofit consumer/patient
21 organization that through its sister websites
22 USAeyes.org and ComplicatedEyes.org receives over

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1 800,000 visitors annually. We provide objective
2 information about refractive surgery issues and
3 resources for those unfortunate few who have
4 encountered a poor refractive surgery outcome.

5 Additionally, CRSQA evaluates and certifies
6 refractive surgeons based upon patient outcomes.

7 In addition to research of published studies and case
8 reports, my interaction with patients provides me with
9 a unique accumulation of anecdotal information and a
10 perspective of a patient. The issues and concerns I
11 will raise today all relate to communication between
12 physician and patient.

13 Potential refractive surgery patients,
14 especially high myopes and high hyperopes, seek
15 options. With a greater understanding of the
16 advantages and limitations of corneal-based refractive
17 surgery, those with high refractive errors find the
18 probability of achieving the convenience of a
19 reduction of the need for corrective lenses less than
20 spectacular.

21 The phakic intraocular lens has been
22 available outside the United States for the better

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1 part of a decade, and it is reassuring that this panel
2 will have the opportunity to determine if a new option
3 will be available to Americans.

4 Not surprisingly, I have some concerns. I
5 will leave to others to debate clinical data, and
6 raise only those issue that from a patient perspective
7 are of equal importance.

8 Pupil Size. Capt. Steven Schallhorn, MD
9 of the United States Navy recently presented a
10 significant performance-based task study of 105
11 consecutive LASIK subjects to determine what effect
12 preoperative scotopic pupil size has on postoperative
13 night vision.

14 Dr. Schallhorn's, and subsequent studies,
15 found no direct correlation between scotopic pupil
16 size and reaction-based visual task performance.
17 Although Dr. Schallhorn's study may
18 provide evidence that pupil size alone is a poor
19 predictor of induced night vision problems, I have
20 never heard Dr. Schallhorn say pupil size is not
21 important.

22 Pupil size may be a poor predictor of

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1 night vision problems, but as any doctor who has
2 prescribed pilocarpine or Alphagan can attest, pupil
3 size is the moderator of night vision problems, when
4 they exist. Although these are two very separate
5 issues, I ask that this panel be mindful of their
6 interrelation.

7 Furthermore, the corneal-based LASIK
8 procedure is not an intraocular lens. Even further, it
9 is not a phakic intraocular lens. Decades of
10 intraocular lens development have shown the importance
11 of edge design and pupil size in regard to halos,
12 starbursts, and glare in low illumination
13 environments. It seems unreasonable to disregard this
14 body of knowledge, regardless of the conclusions of
15 Dr. Schallhorn's findings.

16 Should this panel ultimately decide to
17 approve the device presented today, I respectfully ask
18 the panel to consider including in the labeling for
19 both physician and patient that the probability of
20 induced night vision problems when the scotopic pupil
21 is larger than the size of the full optical correction
22 of the device is not easily determined.

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1 I respectfully ask that the patient
2 labeling include a representation of these effects and
3 explanation of probable limitations on the patient,
4 including difficulty driving at night and reading in
5 low illumination environments.

6 Learning Curve. Today you will have the
7 advantage of evaluating the safety and efficacy of the
8 proposed device when care is provided by what can only
9 be described as some of the best surgeons in the
10 world. I submit that if this device is approved, it
11 will be utilized by doctors who are, shall we say, of
12 somewhat lesser distinction.

13 With reports of as much as 20% incidence
14 of anterior sub-capsular opacities with the first few
15 patients of other intraocular lenses when implanted by
16 novice surgeons, it appears self evident that proper
17 implantation of an phakic intraocular lens requires
18 not only training, but practical experience.

19 I have no reason to doubt that the Sponsor
20 will provide significant training in this regard, and
21 I have equally no doubt that this panel will insist on
22 adequate training and proctoring. I believe, however,

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1 it is in the best interest of the patient to be
2 informed of the experience of the prospective surgeon.

3 Our organization provides a list of 50
4 Tough Questions For Your Doctor for patients to use as
5 a guide in selecting a refractive surgeon. In our 50
6 Tough Questions we recommend that a patient seek a
7 doctor who has performed at least 100 refractive
8 procedures of the exact type intend to use on the
9 patient, with the same equipment, and the same
10 refractive error, and significantly more practical
11 experience with similar surgical techniques.

12 While this panel may find our
13 recommendation of 100 a bit conservative and even
14 restrictive, it does seem reasonable to assume the
15 patient would like to know if he or she is the
16 doctor's first unsupervised phakic intraocular lens
17 patient.

18 I respectfully request that this panel
19 include in the patient labeling a statement indicating
20 that training and practical experience of the surgeon
21 may be an important factor in the probability of a
22 desirable outcome.

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1 Induced Intraocular Pressure. This panel
2 is much better qualified to determine the safety of
3 the Sponsor's phakic intraocular lens than I, but it
4 appears reasonable to assume that the patient will
5 require periodic evaluation of intraocular pressure
6 during use of the phakic intraocular lens. Who will
7 pay for this care?

8 Phakic intraocular lens for the
9 convenience of a reduced need for corrective lenses is
10 an elective, arguably cosmetic, procedure. The patient
11 who is making the decision to proceed is making this
12 decision partly based upon cost.

13 If significantly elevated long-term care
14 were required to maintain good ocular health after
15 phakic intraocular lens implantation, the probable
16 costs for examinations, visual fields, and medication
17 to manage a surgery-induced chronic condition would
18 most probably be an important factor in the patient's
19 decision to elect to have surgery in the first place.

20 I doubt that it is within the power of
21 this panel to require a doctor to provide long-term
22 cost estimates preoperatively, but it does seem

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1 reasonable that the patient labeling include an
2 indication of the type and frequency of reasonably
3 probable surgery related long-term care.

4 I'm sure that when presented with this
5 probable treatment plan, the patient will not need the
6 labeling to recognize that these costs should be a
7 part of the decision regarding the relative value of a
8 reduced need for corrective lenses.

9 Endothelium. There seems to be a lack of
10 clear consensus on the long-term effects of phakic
11 intraocular lens on the quantity and quality of
12 endothelium cells. In the clinical trials, a mandatory
13 evaluation regime underlies the importance of this
14 consideration. The Sponsor is requesting approval for
15 implantation in patients as young as their twenties.

16 Assuming that the phakic intraocular lens
17 would be utilized until natural cataract development
18 when a person is in his or her sixties, the functional
19 life of a phakic intraocular lens may be as much as 40
20 years. During this time, the need for regular
21 evaluation of endothelial cell loss seems obvious.

22 Again, who is going to pay for these costs?

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1 Like long-term care for induced intraocular pressure,
2 it seems reasonable that the patient labeling include
3 some indication of the type and frequency of
4 reasonably probable surgery related long-term care.

5 Summary. The issues I raise all relate
6 directly to the communication between doctor and
7 patient. All suggestions are for the purpose of
8 promoting that communication. If properly informed of
9 the immediate and long-term issues relating to the
10 Sponsor's phakic intraocular lens, I believe that
11 those patients who elect to have phakic intraocular
12 lens implants will have reasonable expectations and
13 will be able to make the decision that best
14 meets their needs and desires.

15 Lastly, I do hope that during the course
16 of discussions today I will not hear the term
17 "implantable contact lens". If this is a contact lens,
18 then I've been wearing explantable phakic intraocular
19 lenses when I water ski. Thank you very much for your
20 time.

21 DR. WEISS: Thank you. I have been told
22 that there is someone in the audience who wanted to

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1 also participate in the open public hearing.

2 DR. JOHN: Yes.

3 DR. WEISS: Okay. You have, well, Dr.
4 Grimmett said eight minutes but actually it's now down
5 to seven. If you could identify yourself and any
6 potential conflict.

7 DR. JOHN: Yes, ma'am. Hi. I'm Maurice
8 John. I'm an ophthalmologist from Louisville,
9 Kentucky/Jeffersonville, Indiana, all in the same
10 metropolitan area. I'm medical monitor for Ophtec. I
11 am not paid by them at all except they paid for my
12 plane fare and my hotel today.

13 I have no stock which is very good news
14 for Ophtec in that I don't have stock in their
15 company. They would be in trouble. I implanted
16 intraocular lenses starting in 1975. I did radial
17 keratotomy in 1980. In 1993 I had a laser in Sao
18 Paolo, Brazil and we believe the first LASIK was
19 performed with my laser by a colleague of mine in
20 1993. In 1995 I started doing LASIK in Sao Paolo to
21 get ready for the United States.

22 In October of 97 I was fortunate enough to

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1 implant the first five ARTISAN lenses in the United
2 States. Prior to that I had gone to Brazil and that
3 summer of '97 implanted a couple of lenses down there.

4 Now I've done 200 plus ARTISAN lenses, the majority
5 of which have been myopic and about 10 percent
6 hyperopic.

7 Starting out in October '97 I found out
8 that there certainly is a learning curve to implanting
9 this lens which has already been mentioned. It is a
10 short but steep learning curve and there is an
11 advantage to being a good surgeon.

12 Mr. Hagele's excellent presentation
13 mentioned that he encourages his patients to ask for a
14 surgeon who has done 100 or more cases and that's
15 going to be very, very difficult with an ARTISAN lens
16 because there just aren't many of those people out on
17 the planet. I have a large, busy, refractive surgery
18 practice and I don't know what that number should be
19 but I've been doing it six years and, like I say, I've
20 just done 200 plus of those.

21 This lens needs space to be put in the
22 eye, there's no doubt about that, but there is

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1 adequate technology to make those measurements to
2 determine if there is adequate space. I would also
3 like to comment on glare. Having done radial
4 keratotomy since 1980 I can assure you that all those
5 patients had starburst and glare and that did not kill
6 radial keratotomy.

7 Then I've done between five and 10
8 patients who are in the subset of people who have
9 larger than 6 mm pupils and none of them have glare.
10 I strongly think the reason for that, especially in
11 this population of people who are between -10 and -20
12 primarily they've had glare, super glare, all their
13 life. So if they get glare from this, it's pretty
14 much peanut glare and then if it's a killer, then this
15 lens can be removed really quite easily.

16 After that point the problems are
17 primarily if you estimate the anterior chamber depth
18 they are surgeon related and we've seen that time and
19 time again. I introduced this lens in Brazil, as I
20 said, in October of 1997 to my friend Eduardo Martinez
21 who we think is the first guy to do LASIK in North or
22 South America. He was using other phakic IOLs and has

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1 switched to this and now gives paper presentations on
2 it.

3 I have been to South Africa many times. I
4 go to a meeting over there every two years and I
5 introduced it in 1998 to some of my colleagues there.

6 They also had access to all the phakic IOLs that are
7 available throughout the world.

8 My colleague, Jan Venter, is up in England
9 now and he is working for a consortium and he gets
10 referred all of the anterior segment surgeries that
11 these LASIK boutiques find. In September of last year
12 he implanted 100 of these lenses. That's how much he
13 believes in their efficacy.

14 The nice thing about this lens, having
15 done a lot of refractive surgery, when I'm in the
16 office and seeing patients, I walk by and I pull the
17 chart off, I look at it and I see it's an ARTISAN
18 patient and I am so happy because I know that I'm
19 going to be in and out of there quickly and that these
20 patients are going to see well and we have not beat up
21 their cornea trying to do -10.0 or 12.0 diopters on
22 them.

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1 If they see 20/30 they are far happier
2 than a 20/25 LASIK patient. It's amazing. My LASIK
3 patients are always whining. They have some slippage,
4 especially the -7.0, -8.0, -9.0, -10.0 and they are
5 always wanting enhancements even though they are
6 20/25. These ARTISAN patients have tremendous quality
7 of vision.

8 It's amazing to me. I just keep reminding
9 myself you're taking the very worse people on the
10 plant, the one or two percent, bottom or top percent,
11 depending on how you want to look at it, and basically
12 pretty much nailing them, knocking a homerun every
13 time up to the plate.

14 My feeling is that patients should run to
15 this lens and I've had some patients who you say FDA
16 study and you've got to wait three months between eyes
17 and they've gone elsewhere. I've seen a couple of
18 them come back and they said, "I should have listened.
19 I should have come."

20 The problem we have is, and I had this in
21 1996, people wanted tried and true RK. They didn't
22 want LASIK. We had the same thing here where 98

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1 percent of these people's friends had LASIK, you know,
2 quick, fast, next day, the American way, and this is a
3 bit of a journey. There are some people who have not
4 had it and it's so unfortunate. I think this lens is
5 wonderful and thank you very much. I hope I beat my
6 seven minutes.

7 DR. WEISS: By 60 seconds. Thank you.

8 We will now close the open public hearing
9 and we are going to move on to the open committee
10 session starting with the division update. Dr.
11 Rosenthal.

12 DR. ROSENTHAL: Thank you, Dr. Weiss.
13 First, let me say that I very much appreciate Donna
14 Lochner coming today because she is theoretically no
15 longer with our division. She has taken a detail with
16 the Division of Cardiovascular Devices as their Deputy
17 Director but has come back to deal with this lens
18 today. We want to wish her all the best of luck on
19 her detail and thank her again for all the hard work
20 she's done for our division and I know she will do a
21 lot of hard work for the Division of Cardiovascular
22 Devices.

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1 Secondly, just as a reminder to all
2 companies, and I'll say this tomorrow as well, but it
3 is important that all companies who are dealing with
4 PMAs with our division schedule a pre-PMA meeting to
5 discuss accountability, stability, safety and efficacy
6 even if they have submitted numerous previous PMAs or
7 PMA supplements. This will help ensure better
8 submission and one that will be less likely to result
9 in a nonfiling decision or result in significant
10 measure deficiencies.

11 I make these comments because the MDUFMA
12 goals will have to be met in 2005 and the quality of
13 this submission will help us considerably should it be
14 excellent to meet our review goals. Thank you.

15 DR. WEISS: Thank you. we will now have
16 branch updates by Donna Lochner and Everette Beers.

17 MS. LOCHNER: Thank you. I am pleased to
18 announce to the panel that Morcher's PMA P010059 was
19 approved by FDA on October 23, 2003. This PMA was for
20 the endocapsular tension ring which is used for
21 capsular bag stabilization in patients with
22 pseudoexfoliation syndrome or other situations of

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1 compromised zonules. You may recall that this PMA was
2 reviewed by the panel in January of 2002.

3 I'm also pleased to announce that
4 Eyeonics' PMA, formerly C&C Vision, P030002 was
5 approved by FDA on November 14, 2003. This PMA was
6 reviewed by the panel in May of 2003. The PMA was for
7 the CrystaLens Accommodating IOL which is intended for
8 primary implantation in the capsular bag for the
9 visual correction of aphakia in adult patients in whom
10 a cataractous lens has been removed and is intended to
11 provide near, intermediate, and distance vision
12 without spectacles. The CrystaLens provides
13 approximately 1 diopter of monocular accommodation.

14 Thank you. That concludes my
15 announcements.

16 DR. WEISS: Thanks, Donna.

17 DR. BEERS: I'm Everette Beers, Chief of
18 the Diagnostic and Surgical Devices Branch. Since our
19 last update in May of 2003 we have approved three
20 PMAs, P020050 for the WaveLight Allegretto Laser for
21 Myopia and Astigmatism, Ms. Jan Callaway, team leader.
22 The approved indication was for a LASIK correction of

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1 myopia up to -12 diopters with or without astigmatism
2 up to -6 diopters.

3 We also approved P030008 which, again, was
4 a Wavelight Allegretto laser for Hyperopia and
5 Astigmatism. Let me back up. The WaveLight Myopia
6 was approved October 7, 2003. This one for WaveLight
7 Allegretto for Hyperopia was approved October 10,
8 2003.

9 Again, Ms. Jan Callaway was the team
10 leader.

11 The approved indication for this WaveLight Allegretto
12 Laser was for LASIK correction of Hyperopia up to
13 +6.00 diopters sphere with up to +5 diopters of
14 cylinder with MRSE up to +6 diopters.

15 On October 10, 2003, we approved
16 P990027/S6 for the Bausch & Lomb Zyoptix, Ms. Daryl
17 Kaufman team leader. The approved indication here was
18 for Wavefront-guided LASIK correction of myopia up to
19 -7 diopters with up to -3 diopters of astigmatism and
20 with MRSE of up to -7.5 diopters.

21 We've had no staff changes since the last
22 update in October. During 2003 we cleared

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1 approximately 36 510(k)s. This concludes my update.

2 DR. WEISS: Thank you very much, Everette.

3 That will conclude the branch updates. I just wanted
4 to say for the panel, Donna, that we've all valued all
5 the hard work and the great work you've done and we're
6 going to miss you. Good luck in your new position.

7 I will now ask the sponsor to come to the
8 podium for presentation of PMA P030028. There will be
9 one hour for the presentation. Each presenter should
10 speak into the mike, identify yourself and your
11 relationship with the sponsor and any potential
12 conflicts.

13 MR. MCCARLEY: Good morning. I'm Rick
14 McCarley, the President and CEO of OPHTEC USA which is
15 based on Boca Raton, Florida. OPHTEC USA is a wholly
16 owned subsidiary of OPHTEC BV based on Groningen, the
17 Netherlands. We are the sponsor of the PMA under
18 review today for the ARTISAN Myopia Lens.

19 First, I would like to thank the panel for
20 their time in preparing for today's meeting,
21 especially the primary reviewers for their indepth
22 review and comments. I would also like to thank the

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1 FDA team of Dr. Lepri, Dr. Toy, Dr. Gray, and Dr. Lu
2 for their extraordinary effort during the last six
3 months bringing this PMA to panel.

4 Finally, I would like to thank the
5 audience for their interest and presence at today's
6 meeting to observe the review of the ARTISAN lens.
7 Today's presentation will be made by Dr. Vance
8 Thompson, an ophthalmologist from Sioux Falls, South
9 Dakota, and Dr. Doyle Stulting, Professor of
10 Ophthalmology at Emory University, Atlanta, Georgia.

11 Dr. Thompson is an investigator in the
12 Artisan lens study but holds no financial interest in
13 the ARTISAN lens or OPHTEC. OPHTEC did pay for Dr.
14 Thompson's travel expenses today.

15 Dr. Stulting was an investigator in the
16 ARTISAN study and was engaged by OPHTEC following the
17 PMA filing as a consultant. He and Maurice John of
18 Jeffersonville, Indiana/Louisville, Kentucky are
19 medical monitors for this study.

20 Also today with us is Dr. Camille Budo
21 from Belgium. Dr. Budo is a medical monitor for the
22 ARTISAN lens studies in Europe and is a paid

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1 consultant for OPHTEC BV. He will be available during
2 the day to answer questions related to the ARTISAN
3 lens usage outside the United States.

4 Finally, Dr. Stan Bentow, the statistician
5 for the PMA, is here to assist as needed. Dr. Bentow
6 is the Department Manager of Biostatistics and Data
7 Management for Advanced Medical Optics. OPHTEC has a
8 business relationship with Advanced Medical Optics for
9 the worldwide distribution of the ARTISAN lens.

10 With that said, I'll turn the presentation
11 over to Dr. Thompson.

12 DR. THOMPSON: I'm Dr. Vance Thompson from
13 Sioux Falls, South Dakota. I do not have a financial
14 interest in the ARTISAN lens and my expenses for being
15 here today are being covered by OPHTEC.

16 It is a sincere honor of mine to present
17 my experience with the ARTISAN Phakic Intraocular Lens
18 implant to the FDA Ophthalmic Devices Panel. After
19 completing a fellowship in refractive surgery with Dr.
20 Dan Durrie in 1990 I entered into private practice in
21 my home state of South Dakota.

22 I've been integrally involved in multiple

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1 FDA monitored clinical trials as a primary
2 investigator in United States eximer, PTK, PRK, and
3 LASIK clinical trials. I have completed 20 FDA
4 monitored laser and implant clinical trials at my
5 center.

6 When I was first asked to be a part of the
7 ARTISAN trial, I actually respectfully declined. In
8 1997 I had a hard time imagining that we would be
9 putting an implant in the eye to correct refractive
10 error. I received a call from an international
11 investigator that I respect who shared with me his
12 positive experience with this implant and asked me to
13 look into this further.

14 As a result of this call, I chose to go to
15 the Netherlands and study with the inventor of the
16 ARTISAN lens, Professor Jan Worst, to find out more
17 for myself about this lens. I was impressed with it's
18 long track record. I hadn't been real familiar with
19 it at that point.

20 I basically came away with the feeling
21 that the lens itself had some unique safety features
22 that explain its excellent performance internationally

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1 and with good surgical techniques the outcomes were
2 outstanding.

3 I saw patients who had had the lens
4 implanted 12 years previously, five years previously,
5 one week post-op, one day post-op. I had a real good
6 experience there and I was impressed enough to then
7 accept the invitation to be a part of the United
8 States clinical trial.

9 So I came back home and implanted my first
10 ARTISAN lens in September of 1998. I have 74 eyes
11 included in the data being presented today. I was
12 surprised at how quickly I became comfortable
13 implanting this lens and how quiet these eyes looked
14 postoperatively.

15 A hundred percent of my patients have been
16 pleased with their outcome and they have all opted to
17 have their fellow eye performed. With continuing
18 enrollment I have performed a total now of 95 ARTISAN
19 lens implants. After having used it, I can't imagine
20 not providing this quality option for my patients in
21 my practice.

22 This is a single piece PMMA lens for the

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1 correction of myopia. It is elliptical in shape and
2 8.5 mm in length. It comes in two optic diameters of
3 5.0 and 6.0 mm. It has a slight anterior vault to
4 create a safety zone between it and the crystalline
5 lens.

6 The ARTISAN lens is designed for
7 implantation into the anterior chamber of the phakic
8 eye. It is fixated to the mid-peripheral iris by
9 incorporation of a portion of the anterior iris stroma
10 into an opening in the haptic with an instrument
11 specifically designed for this purpose. This process
12 of lens fixation is known as enclavation.

13 Here is a post-mortem specimen from an 86-
14 year-old who died from an unrelated cause after
15 implantation of an ARTISAN lens six years previously.

16 Note how quiet and undisrupted the posterior uveal
17 pigment appears.

18 This slit lamp photograph shows an example
19 of the appropriate amount of Iris tissue that should
20 be incorporated into the lens haptic for stable lens
21 fixation. Proper fixation requires incorporation of
22 about 1 mm of iris tissue between the aligned arms of

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1 the haptic. Keep this picture in mind because we are
2 going to be showing you a photograph of an
3 inadequately fixated lens later in this presentation.

4 An advantage of this lens is the ease with
5 which one can attach it to the iris or detect it from
6 the iris. It can be repositioned during surgery for
7 optimal centration or it can be easily removed by
8 pushing the iris tissue back through the opening in
9 the haptic.

10 The lens is available in two optic zone
11 sizes, a 6 mm optic in powers of -5 to -15 diopters
12 and a 5 mm optic in powers of -5 to -20 diopters.
13 Here is a Scheimpflug photo showing the healthy
14 separation of the intraocular lens from the cornea and
15 the crystalline lens. This is an 18 diopter lens in a
16 patient with an anterior chamber depth of 3.4 mm.

17 Since the lens attaches to a relatively
18 immobile peripheral portion of the iris, the pupil can
19 be dilated nicely for an unimpeded view of the retina.

20 The lens is implants through 5.2 or 6.2 mm incision
21 and fixated by incorporating a portion of the mid-
22 peripheral iris into an opening in the haptics with

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1 the enclavation instrument.

2 The surgery is performed utilizing a
3 cohesive highmolecular weight viscoelastic. A
4 peripheral iridotomy or iridectomy is required to
5 avoid pupillary block.

6 Here is an edited video of one of my
7 patients who had an ARTISAN implant and the first step
8 is marking the limbus for the surgical incision and
9 then marks are placed approximately 10 mm apart to
10 locate incision site for the enclavation instrument.
11 Then the initial vertical limbal incision is made and
12 then dissected into clear cornea.

13 The entry sites for the enclavation
14 instrument are then created, first here on the right
15 and then now on the left. Viscoelastic is instilled
16 with care to avoid overfilling the anterior chamber
17 while providing protection for the corneal endothelium
18 and also the crystalline lens. The anterior chamber
19 is then entered.

20 If necessary, more viscoelastic can be
21 instilled. The ARTISAN lens is then rinsed. Then
22 it's implanted with Budo forceps, forceps that help to

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1 stabilize the lens. The lens is positioned over the
2 pupil. I like to start with the lens slightly
3 inferior to the pupil since it tends to move superior
4 during lens fixation.

5 The lens is then enclavated first on the
6 right making sure to incorporate the proper amount of
7 iris tissue, at least 1 mm in width. Here we can see
8 how easy it is to incorporate additional tissue to
9 assure adequate fixation. More viscoelastic can be
10 used to maintain a nice comfortable space between the
11 lens and the endothelium. The left haptic is then
12 enclavated.

13 At this point in time I would like to put
14 in balance salt solution and then the wound is closed
15 partially. Before the last suture is tied, the
16 remaining viscoelastic is removed from the anterior
17 chamber. Then the last suture tied.

18 Early designs of this iris fixated lens
19 have been implanted for 25 years with more than
20 400,000 lenses implanted in aphakic eyes to date. In
21 1986 a second design known as the Worst-Fechner lens
22 was introduced for implantation into phakic eyes.

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1 However, there was concern that the increased vault of
2 this lens might not provide sufficient clearance from
3 the corneal endothelium.

4 In 1991 the lens was refined to address
5 these concerns. Note the new profile. This design
6 known as the ARTISAN lens has been used successfully
7 worldwide since 1991. The aphakic iris fixated lens
8 is used all around the world and it is also frequently
9 used as a secondary implant particularly during
10 penetrating keratoplasty.

11 Stable fixation over time has been shown
12 with normal long-term pupillary function and no iris
13 atrophy. With iris angiogram studies, in this case
14 two months postoperatively, it's been shown that
15 there's no vessel disruption or leakage and normal
16 pupillary function is maintained.

17 The current ARTISAN lens design has been
18 used for 13 years with over 100,000 myopic, hyperopic,
19 and toric lenses implanted worldwide by more than
20 5,000 physicians to date. The ARTISAN lens is the
21 most commonly implanted phakic intraocular lens in the
22 world today. It is the lens of choice accounting for

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1 almost two-thirds of implants in markets where
2 multiple phakic IOLs are available.

3 I'd like to now review the results of
4 European multi-center study of 518 eyes implanted from
5 1991 to 1999 at nine sites with -5.0 to -20 diopters
6 of myopia utilizing the 5 mm ARTISAN phakic IOL.
7 Three-year follow-up on 249 eyes has been reported in
8 the literature by Budo and coauthors.

9 Best spectacle corrected visually acuity
10 was better than or equal to 20/40 in 93.9 percent of
11 patients. Uncorrected visual acuity was 20/40 or
12 better in 76.8 percent of patients regardless of
13 postoperative goal. 57.1 percent were within 0.5
14 diopters of their target refraction and 78.8 percent
15 were within 1 diopter of their target.

16 Mean endothelial density changes in a
17 subset of 129 eyes were as follows. After six months
18 there was 4.8 percent cell loss; 6 months to one year,
19 2.4 percent; one year to two years, 1.7 percent; two
20 years to three years 0.7 percent. Notice the
21 relatively low amount of cell loss and stabilization
22 over time.

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1 The results of the European multi-center
2 study demonstrate refractive stability and good
3 predictability. They concluded there was a favorable
4 risk benefit ratio and that efficacy and safety
5 through three years was demonstrated in this study.
6 There are no published reports indicating long-term
7 safety concerns with the current lens design.

8 Corneal decompensation and glaucoma have
9 not been reported. International experience with
10 complications and secondary surgical intervention
11 parallels that in the U.S. clinical trials. I have a
12 lot of confidence in this lens design which has been
13 in use since 1991. My patients and I both appreciate
14 the fact that it is removable and exchangeable. My
15 personal experience has been excellent.

16 I find comfort in the long-term
17 performance demonstrated in the European study and the
18 published literature. The two-thirds market share for
19 phakic IOLs means a lot to me when the majority of
20 surgeons in the world who have their choice of which
21 phakic IOL to implant choose the ARTISAN lens.

22 I consider this a quality surgical option

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1 for high myopes and I also consider this a quality
2 surgical option for low myopes who are not good
3 candidates for other refractive procedures. I am
4 enthusiastic about the results from this lens and I
5 look forward to its approval. Thank you very much for
6 your attention.

7 I would like to now turn the podium over
8 to Dr. Doyle Stulting who will review the results of
9 the United States clinical trial.

10 DR. STULTING: Good morning members of the
11 Ophthalmic Devices Panel and the FDA. I'm Doyle
12 Stulting, Professor of Ophthalmology at Emory
13 University. I'm one of the medical monitors of the
14 ARTISAN Phase III clinical study and a paid consultant
15 to OPHTEC. It will be my pleasure to present the
16 results of the U.S. clinical investigation of the
17 ARTISAN myopia lens for the correction of high myopia.

18 This was an open-label, noncomparative
19 study of patients with 4.6 to 22 diopters of myopia.
20 The lens was available in one diopter power increments
21 and two physical designs. The 5 mm optic was
22 available in powers from -5 to -20 diopters and the 6

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1 mm optic was available in powers from -5 to -15.

2 There were eight postoperative visits.

3 Note, however, that the study was
4 initially planned to spend two years and later
5 extended to three years. The results were obtained
6 from all implanted lenses by all investigators.
7 Specifically, investigators for this study did not
8 receive training outside of the U.S. or experience
9 outside of the U.S. before they began to participate
10 in the clinical trial.

11 To be included in the original study
12 subjects had to be 21 to 50 years old with a stable
13 manifest refraction or refractive cylinder of 2
14 diopters or less, an anterior chamber depth of 3.2 mm
15 or more, and an endothelial cell density of 2,000 or
16 more per millimeter square. The low-light pupil size
17 had to be 4.5 mm or less because only the 5 mm optic
18 was available at the time of study initiation. There
19 can be no ocular disease or abnormality that would
20 affect safety.

21 The FDA granted a number of protocol
22 waivers to allow implantation in patients who did not

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1 meet all of these criteria until the original protocol
2 was amended with expansion inclusion criteria in
3 November of 2000.

4 These expanded inclusion criteria allowed
5 enrollment of eyes with clinically insignificant and
6 stable peripheral lens opacities, astigmatism of 2.5
7 diopters or more, anterior chamber depths of less than
8 3.2 mm, age over 50, pupil size greater than the size
9 of the optic, best spectacle corrected acuity of less
10 than 20/40, and implantation of lenses that would not
11 completely correct the refractive error.

12 Outcome measures included uncorrected
13 visual acuity, best spectacle corrected acuity
14 manifest in psychoplegic refraction, contrast
15 sensitivity, intraocular pressure, endothelial cell
16 density, and slit lamp observations.

17 At the time the protocol was developed,
18 cataract formation was not identified as a significant
19 risk because of a six-year history of implantation
20 internationally without cataract induction, and the
21 position of the implant well clear of the crystalline
22 lens. Thus, the approved protocol required only

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1 clinical grading of cataracts rather than standardized
2 grading of lens opacities.

3 In 1997 when the study was initiated a
4 variety of instrumentation was permitted for obtaining
5 specular images and only one image was required for
6 each eye at each visit. As the available technologies
7 and the knowledge advanced, the sponsor changed
8 investigational procedures consistent with FDA, ANSI
9 and ISO discussions in developing guidelines.

10 This led to a recommendation from the
11 sponsor that sites use only the Konan non-contact
12 specular microscope and obtain three satisfactory
13 images for analysis for each eye at each visit.

14 Six hundred and 84 subjects were enrolled. There were
15 662 subjects in the primary study 478 of which were
16 implanted bilaterally. Twenty-two were implanted for
17 compassionate use. Enrollment is ongoing.

18 The PMA defines several groups for
19 analysis. For this presentation, most safety analyses
20 were based on all implanted eyes while efficacy
21 studies were based on first eyes. Accountability was
22 adequate and the study is ongoing. Two hundred and 32

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1 first eyes have completed three years of follow up and
2 357 subjects continue to be followed.

3 This PMA filing is based on 386 eyes which
4 were followed for three years. At three years 62.4
5 percent of eligible eyes have completed their exams
6 with a large portion of the remainder still to be
7 seen. In judging these numbers the sponsor feels that
8 it is important to be mindful of the fact that this
9 was originally designed and powered as a two-year
10 study. Subjects were recruited with this
11 understanding and some of them elected not to return
12 for their three-year visit.

13 The number of discontinued subjects was
14 low with only eight percent lost to follow-up.
15 Demographics were not unusual for refractive surgery
16 population with a mean age of 39.6 years. The mean
17 preoperative spherical equivalent refractive error was
18 significantly higher than the mean error of patients
19 seeking refractive surgery in this country today. It
20 was -12.3 diopters. The range was 4.6 to 21.9
21 diopters.

22 As we move forward it is important to

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1 remember that many of the subjects in this study are
2 high myopes who have no other alternatives for
3 refractive surgery. This population is also at
4 increased risk for undesirable outcomes such as
5 cataracts and retinal detachment. The mean lens power
6 was -12.6 diopters ranging from 5 to 20 diopters.

7 Let's look at the safety of the lens. One
8 hundred percent of first eyes has best spectacle
9 corrected acuities of 20/40 or better at two and three
10 years after surgery. As you can see from this slide,
11 best spectacle corrected acuity was better at one,
12 two, and three years postoperatively than it was
13 preoperatively.

14 At three years 49 percent of eyes gained
15 best spectacle corrected acuity while only 6.2 percent
16 lost best spectacle corrected acuity. Two eyes lost
17 two lines of best spectacle corrected acuity. No
18 pathology was reported in either of these eyes so the
19 loss is believed to be due to measurement variability.

20 I want to pause with this slide because it
21 shows something that previously approved forms of
22 refractive surgery do not, improvement in best

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1 spectacle corrected acuity after surgery. Indeed, the
2 fact that only two eyes in this study lost vision is a
3 remarkable result in view of the degree of
4 preoperative myopia and the age of the subject
5 population.

6 The incision size --

7 (Whereupon, off the record from 10:14 a.m.
8 to 10:19 a.m.)

9 DR. STULTING: -- possible dislocation.
10 There were 20 of these. The majority of these
11 procedures were performed at a single investigational
12 site. Most of the procedures were preventative
13 measures taken to avoid possible dislocation. The
14 sponsor advocates comprehensive training of surgeons
15 to minimize similar events after approval.

16 This graph shows the number of secondary
17 surgical events as a function of the number of
18 implants performed by the investigators in the
19 clinical trial. It is clear that the majority of
20 secondary surgical interventions occurred during the
21 early surgical experience.

22 Approximately 50 percent of the events

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1 occurred among the first 10 subjects implanted. A
2 disproportionate number occurred at one site and the
3 majority was due to improper lens fixation. These
4 procedures include both preventable and therapeutic
5 interventions.

6 These data show that there is a learning
7 curve for some of the skills required for successful
8 implantation of the ARTISAN lens. Retinal detachment
9 occurred in six eyes during the observation period.
10 This represents an incidence of 0.3 percent per eye
11 per year in a population of eyes with myopia between
12 11.5 and 18.6 diopters. This rate is comparable to
13 reported rates of retinal detachments in the
14 literature in high myopes.

15 This slide shows the incidence of lens
16 opacities in the study. Most were not visually
17 significant or lens related. Only one eye lost two
18 lines of vision to 20/30. Most lens opacities were
19 nuclear which would not be expected to be related to
20 an implanted lens. Only a very few were anterior or
21 subcapsular opacities which would be expected if they
22 were intraocular lens related trauma to the

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1 crystalline lens.

2 This slide summarizes significant lens
3 opacities requiring cataract extraction during the
4 study. One occurred after removal of viscoelastic and
5 intraocular lens implant for high intraocular
6 pressure.

7 A second was in a 50-year-old with a
8 family history of cataracts. The third was in a 56-
9 year-old man with a preoperative lens opacity and a
10 family history of cataracts. The sponsor does not
11 believe that the incidence of cataract in the study
12 population is unexpected given the age and refractive
13 error of the study cohort.

14 These are the visual outcomes in eyes that
15 underwent secondary surgical intervention. Even in
16 this group more eyes gained best spectacle corrected
17 acuity than lost best spectacle corrected acuity
18 compared to the preoperative value. The 3.7 percent
19 represents two eyes that lost two or more lines of
20 best spectacle corrected acuity.

21 Here are the details pertaining to these
22 two eyes. One was due to a retinal detachment and a

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1 subsequent macular hole 20/70. The other was due to
2 posterior capsule or haze following cataract
3 extraction and intraocular lens implantation. After
4 YAG capsulotomy this eye had a best corrected acuity
5 of 20/30.

6 The Agency requested data on adverse
7 events that have occurred since submission of the PMA.

8 There were two of these. One was a cataract
9 extraction that was necessitated by repair of a
10 retinal detachment with trauma to the crystalline
11 lens.

12 The second was reattachment of a lens that
13 was dislocated during a boxing match. I'm not sure
14 whether he won or lost. The most recent visual
15 acuities in these two eyes were 20/30 and 20/40. Let
16 us discuss endothelial cell density in greater detail.

17 At the time of initiation of the study in 1977 the
18 protocol allowed a variety of instrumentation and
19 required acquisition of only one image per eye per
20 visit.

21 As technology improved, the protocol was
22 changed. However, it was impossible to acquire old

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1 data according to the improved protocol
2 retrospectively. The data presented to the FDA are
3 consistent with the guidance provided to industry by
4 the Agency and the Ophthalmic Devices Panel at the
5 time the study was designed.

6 This slide shows the results of
7 endothelial cell counts in the original PMA. Although
8 there was not significant reduction in endothelial
9 cell density, the standard deviations of the
10 measurements were relatively large ranging from 17 to
11 25 percent.

12 The data set that was reported in the
13 original PMA was derived from one to three images per
14 eye per visit using a variety of instrumentation. The
15 images were analyzed by various site personnel.
16 Because of the variability there was not good
17 statistical power to rule out significant changes in
18 endothelial cell density.

19 Review of the raw data led to the
20 conclusion that analysis of the specular images could
21 be improved. The sponsor elected to recount available
22 high quality images after consulting with FDA and

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1 experts in the field. Data from 12 sites were chosen
2 because they used the Konan specular microscopes.
3 This instrument is now the accepted standard for the
4 most accurate determination of endothelial cell
5 density.

6 One reading center was employed for
7 consistency. Only the best quality image was analyzed
8 per eye per visit. There were a total of 353 eyes of
9 215 subjects producing a consistent cohort of 57
10 subjects with data at all time points throughout three
11 years. The average number of cells analyzed per image
12 was 109.

13 Here we see the mean endothelial cell
14 density in all recounted subjects and the consistent
15 cohort. Both showed a slight reduction which would be
16 expected even in the absence of intraocular lens
17 implantation.

18 The equivalent yearly rate of cell loss
19 ranged from 0.72 percent to 1.59 percent throughout
20 the study when all recounted eyes were analyzed. Note
21 the reduction in the standard deviations in the
22 recount analysis.

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1 In the consistent cohort yearly
2 endothelial cell density loss rates range from 0.71
3 percent to 1.27 percent. This slide shows percent
4 change for each observation period. Changes between
5 consecutive periods are not statistically different.
6 Similar results were obtained in the consistent
7 cohort.

8 One site exhibited an endothelial cell
9 loss for the two to three-year period of 4.95 percent
10 which was significantly lower than any of the other
11 sites. The sponsor was recently notified that the
12 site had staffing changes, problems with calibration
13 of the specular microscope, and that the microscope
14 required servicing during this period. The results
15 from this site may not be poolable.

16 Removing this site from the analysis
17 decreases the loss for the two to three-year period
18 from 2.37 to 1.68 percent. The accuracy and precision
19 of specular microscopy with the Konan noncontact
20 specular microscope is documented in the publication
21 by Nichols and coworkers in which 25 normal subjects
22 were examined on two occasions by two examiners. The

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1 mean instrument error was 1.7 percent with a
2 confidence interval from -13 to +16 percent.

3 The important point to be learned from
4 this published paper is that a cell loss reading of 10
5 percent is within the confidence interval of
6 measurement error and 13 percent of eyes would be
7 expected to have a 10 percent change or more even if
8 no real change existed.

9 Here we see the yearly change in
10 endothelial cell density and the two to three-year
11 periodic rate. These are not significantly different
12 from guidance. The average cell loss over time was
13 about 50 cells per millimeter square per year or 1.72
14 percent per year. The projected mean cell count is
15 about 1,300 30 years after implantation.

16 There was no change in the percent of
17 hexagonal cells and the coefficient of variation after
18 surgery. These data support the conclusion that the
19 implanted lens does not stress the endothelium because
20 a reduction in hexagonality and an increase in
21 coefficient of variation are hallmarks of chronic
22 endothelial stress such as we see with long-term

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1 contact lens wearers.

2 When the endothelial cell density was
3 analyzed, there was no consistent statistically
4 significant association with gender, age, lens model,
5 anterior chamber depth, or preoperative spherical
6 equivalent manifest refraction.

7 We conclude that the endothelial cell
8 density loss after implantation of the ARTISAN lens is
9 within the acceptable range. There are no
10 statistically significant differences in loss rates
11 between consecutive periods. The loss that was
12 recorded is within measurement error.

13 In summary, the ARTISAN lens has a superb
14 safety profile with excellent best corrected acuity.
15 Most secondary surgical procedures were due to
16 inadequate lens fixation and could be prevented in the
17 future by surgeon training and proper attention to
18 surgical techniques.

19 Even when secondary surgical intervention
20 was necessary, best spectacle corrected acuity was
21 maintained. Lens opacities were generally mild, not
22 visually significant, and unrelated to the intraocular

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1 lens. The endothelial cell loss was within the
2 acceptable range.

3 Let's take a look at efficacy. Ninety-two
4 percent of first eyes targeted for emmetropia with
5 preoperative best spectacle corrected acuities of
6 20/20 had 20/40 or better visual acuities at three
7 years postoperatively. Fifty percent of these eyes
8 had 20/20 or better visual acuity postoperatively.

9 This slide gives the details of eyes with
10 uncorrected acuities less than 20/40 at three years
11 after surgery. Most or attributable to residual
12 refractive error, usually residual astigmatism. The
13 sponsor believes that the reported uncorrected acuity
14 of 20/70 in one subject was due to a testing or
15 reported error since this subject had a best spectacle
16 corrected acuity of 20/20 and a minimal refractive
17 error.

18 Remember that only one diopter lens power
19 increments were available in the study. Subjects were
20 included with more than 2.5 diopters of astigmatism
21 without astigmatic correction. We expect uncorrected
22 acuity to increase after approval because of the

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1 availability of half diopter power increments and the
2 use of astigmatic corrective procedures when
3 necessary.

4 As we improve refractive surgical
5 techniques, the comparison of best postoperative
6 uncorrected acuity to preoperative best spectacle
7 corrected acuity is becoming a more discriminating
8 outcome measure. In this study a remarkable 51
9 percent of eyes targeted for emmetropia had a
10 postoperative uncorrected acuity better than or equal
11 to the preoperative best spectacle corrected acuity.

12 71.7 percent of eyes were within a half a
13 diopter the target refraction and 94.7 percent were
14 within one diopter of target. Postoperative
15 refractions were remarkable stable with less than a
16 10th of a diopter change between six months and one
17 year and between two and three years.

18 The vast majority of subjects were pleased
19 with the quality of their vision, satisfied with their
20 outcomes, and would recommend the procedure to their
21 friends. Visual aberration such as glare, starbursts,
22 and halos were noted in about the same number of

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1 subjects after surgery as before surgery.

2 The majority of subjects had no change in
3 their reported visual symptoms after surgery compared
4 to preoperatively. The only reported symptom that was
5 more frequent postoperatively than preoperatively was
6 halos. We may see the reason for that in subsequent
7 slides.

8 We look for correlation between visual
9 symptoms and parameters relating to the lens or the
10 eyes in this study. There was no significant
11 correlation of visual symptoms with the relationship
12 between the lens optic and mesopic pupil size, lens
13 power or refractive cylinder except for halos in
14 refractive cylinder which is probably an explanation
15 for the increase in halos postoperatively.

16 After approval the sponsor believes that
17 postoperative symptoms due to residual refractive
18 error will be reduced because of the availability of
19 half-diopter lens power increments and the use of
20 additional surgical procedures to treat residual
21 astigmatism.

22 A 20 diopter myope with a 2.5 diopter

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1 corneal astigmatism preoperatively who has 20/40
2 uncorrected acuity after implantation and residual
3 nighttime glare may be ecstatic about his or her
4 surgical result but raise concern among panel members
5 because of the presence of nondebilitating visual
6 symptoms at night postoperatively.

7 Contrast sensitivity was investigated in a
8 substudy involving 31 subjects. Under photopic
9 conditions without glare, contrast sensitivity was
10 better postoperatively than preoperatively. There
11 were similar results with glare reaching statistical
12 significance at four out of five of the measured
13 points, again with better performance after surgery
14 than before.

15 Under mesopic conditions without glare
16 contrast sensitivity was the same postoperatively as
17 it was preoperatively. The same results are seen
18 under mesopic conditions with glare.

19 In conclusion, there was no decrease in
20 contrast sensitivity after implantation of the phakic
21 ARTISAN lens. Statistically significant differences
22 where present usually show better contrast sensitivity

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1 postoperatively than preoperatively, very different
2 than currently approved refractive surgical
3 procedures.

4 In summary, the ARTISAN lens offers
5 excellent uncorrected visual acuity, excellent
6 predictability, good stability of refraction, contrast
7 sensitivity that is unchanged or improved, and high
8 subjective satisfaction rates. The sponsor proposes
9 that the ARTISAN lens be labeled for the correction of
10 myopia with lenses from between five and 20 diopters.

11 Although preoperative refractive cylinder
12 greater than 2.5 diopters was an exclusion criterion
13 for the study, the sponsor does not believe that it
14 should be a contraindication for the use of the
15 ARTISAN lens after approval because residual
16 astigmatism can be managed by the placement of the
17 surgical incision site and other techniques.

18 We suggest that the lens optic size be
19 greater than the mesopic pupil size when possible.
20 However, we note that no correlation was found between
21 the disparity between optic size and pupil size and
22 visual symptoms at night. Because subjects in the

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1 study with preoperative pathologies did not have
2 different results than those without pathology, the
3 sponsor proposes that preoperative pathologies not
4 necessarily preclude the use of the ARTISAN lens.

5 Endothelial cell density minimums for each
6 age group would be acceptable as a precautionary
7 measure at the discretion of the panel and the FDA.
8 Our experience with inadequate iris fixation primarily
9 at one site emphasizes the need for appropriate
10 physician training in the use of this lens.

11 The sponsor proposes that the lens be made
12 available only to surgeons who have undergone
13 appropriate training including didactic instruction,
14 supervised wet lab training, observation of live
15 surgery, and supervised initial procedures.

16 There are a number of benefits of the
17 ARTISAN lens compared to other refractive surgical
18 techniques. The ARTISAN provides excellent refractive
19 outcomes. As opposed to other commonly used
20 refractive surgical techniques, the ARTISAN lens
21 leaves contrast sensitivity unchanged or improved.

22 There is a good safety profile with few

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1 complications most of which can be avoided by adequate
2 training and surgical technique and attention to
3 detail during the surgical procedure. Endothelial
4 cell loss was within the expected range and there was
5 very high patient satisfaction.

6 The lens is exchangeable and removable
7 with good outcomes. It avoids the potential
8 complications of corneal surgery such as scarring,
9 complications of flap preparation, and irregular
10 astigmatism. It provides an effective treatment for
11 myopes, especially those who are not candidates for
12 other refractive procedures.

13 The sponsor asks that the ARTISAN phakic
14 IOL be recommended for approval. Thank you.

15 DR. WEISS: Seeing that concludes the
16 sponsor's presentation, I would like to thank the
17 sponsor for the clear presentation and we are going to
18 break for 10 minutes. I would request that everyone
19 be back here promptly in 10-minutes time.

20 (Whereupon, at 10:40 a.m. off the record
21 until 10:56 a.m.)

22 DR. WEISS: We are going to begin with

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1 questions from the panel to the sponsor so I will ask
2 the sponsor if they could take a seat up front. Okay.

3 I'm going to be changing the format a little bit for
4 the edification of the panel today and what I'm going
5 to be doing is going around the table and asking you
6 what questions you might have for sponsor in the
7 attempt to maximize our time.

8 I have one question. You mentioned that
9 there were three cases where the pupil size was larger
10 than the optic size. Did those patients have any halo
11 or glare or visual symptoms associated with that?

12 MR. MCCARLEY: Yes, they did and, in fact,
13 there were three patients who had their lenses removed
14 that had the optic size larger than the pupil --
15 sorry,, the pupil larger than the optic size. But
16 there were many more patients in the study that had
17 larger pupils than the optic.

18 DR. WEISS: I think I'm confused then. I
19 heard from Dr. Stulting that he identified
20 particularly three patients that had pupil size larger
21 than optic. But from what I'm hearing right now,
22 there were more than three patients?

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1 MR. MCCARLEY: That's correct. There were
2 three patients. The slide identifies three patients
3 who had lens removal or secondary surgical procedures
4 as a result of that.

5 DR. WEISS: Okay. So then there were
6 three patients with lens removal because the pupil
7 size was larger than the optic size and they were
8 symptomatic.

9 MR. MCCARLEY: That's correct.

10 DR. WEISS: But how many patients had
11 pupil size larger than optic size?

12 MR. MCCARLEY: I'll have to pull the PMA.

13 DR. WEISS: So you can get that?

14 MR. MCCARLEY: Yes, we can get that.

15 DR. WEISS: The other question on that,
16 because of glare symptoms at night in relation to one
17 of the people who gave a comment at the open public
18 hearing, were any of the patients needed to be on
19 Alphagan at night?

20 MR. MCCARLEY: Not that we're of
21 specifically for that purpose, no.

22 DR. WEISS: Okay. So we're going to go

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1 around. Glenda, did you have any comments or
2 questions?

3 MS. SUCH: Two questions small in nature.
4 One is what was the youngest age you actually had in
5 the study group?

6 MR. McCARLEY: It was 21, I believe.

7 MS. SUCH: That's what I thought I heard.
8 I can't remember the second question so I guess
9 that's it.

10 DR. WEISS: Well, we can get back to you.

11 Mr. Balo.

12 MR. BALO: I don't have any questions.

13 DR. WEISS: Dr. Schein.

14 DR. SCHEIN: I have comments coming up
15 later but only one question now. I'm wondering about
16 data from other sources that could be brought to bear?

17 MS. THORNTON: Oliver would you --

18 DR. SCHEIN: My mike is too far away. I'm
19 interested in data from other sources on the device
20 that might be useful. What I've heard so far relates
21 to this long-term series of 19 patients in Europe.
22 It's a consistent cohort but it's a very, very small

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1 group.

2 Dr. Stulting mentioned a publication out
3 of Europe recently but that group reported only 50
4 percent of the patients that they started with. Are
5 there any data sources available with 100, 200, 300
6 patients with three, four, five-year follow-up that we
7 can examine?

8 MR. MCCARLEY: Not that we are aware of.
9 Obviously there have been recent publications that
10 have started to come out where more people became
11 involved, especially in Europe in the mid-'90s who
12 have longer term data now.

13 Dr. Mihai Pop from Canada also has some
14 data that I believe will be produced in the next month
15 or two in one of the major journals. But as far as
16 answering your question, I don't think anyone has done
17 a large study of endothelial cell count for a long
18 term.

19 DR. SCHEIN: I needn't even be restricted
20 to endothelial cell count. Something would disconnect
21 if there are 100,000 implants that have been done and
22 there is essentially no data externally with high

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1 levels of follow-up.

2 DR. STULTING: This is Doyle Stulting. I
3 can address that a little bit. The European study was
4 518 eyes with a three-year follow-up on 249 eyes.
5 That's Dr. Budo's paper. I think the number that you
6 were referring to is the endothelial cell density
7 study and that was 129 eyes followed for three years.

8 There probably aren't any rigorously
9 followed series of eyes out there in the literature
10 giving us two or three-year follow-up with the
11 accountability that we would like to see because of
12 the nature of the refractive surgery population. What
13 we do know is the number of implants that have been
14 used and the lack of reports of significant long-term
15 complications so that gives me at least a little bit
16 of comfort knowing that there are so many lenses out
17 there in eyes and, yet, there aren't reports of
18 problems with these lenses long term.

19 DR. SCHEIN: In Europe is there mandatory
20 reporting with explantation?

21 MR. MCCARLEY: Yes. In fact, it's
22 required as part of the CE process. In Europe they do

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1 require you to report adverse events -- all adverse
2 events.

3 DR. ROSENTHAL: May I just add that as
4 part of the PMA process it's the sponsor's obligation
5 to submit all data that's been published that they
6 know of in the literature and not in the literature
7 about the device. The FDA does receive everything
8 that is supposed to be -- that is out there. It's
9 supposed to be submitted with the application.

10 DR. WEISS: Dr. Macsai has a question on
11 that point.

12 DR. MACSAI: I have a question for Dr.
13 Rosenthal. Does that include CE data? Do you share
14 with CE and does CE share with the FDA?

15 DR. ROSENTHAL: The data we are supposed
16 to receive is the data that the company submits that
17 they know is in the public domain. CE data may not be
18 in the public domain. Many of the countries within
19 the European community consider much of the adverse
20 event data to be confidential.

21 I think with Britain we do have some sort
22 of mutual agreement that when there are serious

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1 problems with the device, we are notified and,
2 likewise, they are notified when we have serious
3 problems. But generally there is not a worldwide
4 sharing of data relating to post-market problems with
5 devices.

6 DR. WEISS: We're going to go on to Dr.
7 Bandeen-Roche.

8 DR. BANDEEN-ROCHE: Thank you. I just
9 have a couple of questions about the endothelial cell
10 count data that was presented in the PMA. The first
11 is that there were 12 sites that contributed data to
12 the final analysis. I'm wondering if you can tell us
13 whether you've analyzed data to determine how those
14 sites compared to the sites that did not contribute
15 data to that analysis other than not having the Konan
16 microscope, things like case mix, provider experience,
17 anything like that?

18 MR. MCCARLEY: The Konan machine provides
19 a relatively good image that is standard. And they
20 also provide a separate software that actually
21 provides a way to read images in the standardized way.
22 It was our choice based upon the recommendations of

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1 the experts, some of which have testified in front of
2 this panel, which machine is likely to give you
3 consistent good readings. Not favorable readings but
4 to be able to read it at all. In fact, we utilized
5 exactly the same machines as Dr. Edelhauser, for
6 instance, and some of the others around the country
7 that actually do endothelial cell counts.

8 DR. BANDEEN-ROCHE: But what I'm getting
9 at is that only 10 of the U.S. sites use that
10 microscope and how might those sites have been
11 different than the others?

12 DR. STULTING: Maybe I could ask Dr.
13 Bentow for a little bit of help. Did you look at the
14 baseline for the two sites?

15 DR. WEISS: You can identify yourself.

16 DR. STULTING: For the groups of sites
17 that were included in the endothelial cell versus
18 those that were not.

19 DR. BENTOW: Yes, this is Stan Bentow with
20 AMO. We didn't look at a comparison with the previous
21 data set because we went with only the Konan pictures
22 that we used in the latter one, although we did look

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1 at site comparison and analysis for that data set, the
2 recounted data set.

3 DR. SCHEIN: Did you make a comparison --

4 DR. WEISS: Dr. Schein, can you identify
5 yourself?

6 DR. SCHEIN: Right. This is Oliver
7 Schein. Did you make comparisons within those centers
8 that were using the Konan scope as to who is in versus
9 who is out? By that, I mean you have images on a very
10 small proportion of the total images that were
11 generated even at the sites that used that technology.

12 It would be useful to know rates of adverse events in
13 versus out, baseline cell counts, age, etc. Do you
14 have those to show us?

15 DR. BENTOW: We can look at that and see
16 if we can bring that up.

17 DR. WEISS: Thank you. Dr. Bandeen-Roche,
18 if you have no other questions.

19 DR. BANDEEN-ROCHE: I have one more
20 question that actually goes to that. I believe I read
21 in the updated part of the PMA that images with the
22 number of cells counted less than 70 per reevaluated

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1 and if it was felt that they weren't of good quality,
2 they were not eliminated. This seems potentially
3 biasing to me. It seems like that could well undercut
4 the rate of loss by excluding the images with the low
5 cell counts. I wanted to give you a chance to respond
6 in case I'm just not understanding.

7 DR. STULTING: I understand what you're
8 asking. I can tell you that the site that we
9 mentioned that had the high rate of secondary surgical
10 procedures and what not was one of the sites that was
11 included in the recount data.

12 DR. WEISS: Dr. McMahon.

13 DR. McMAHON: Tim McMahon. I have two
14 questions. The first continues along the line of
15 endothelial cell counts. Were test and retest
16 analysis done by individual sites and were there any
17 variances in the 95 percent confidence intervals
18 amongst those sites?

19 DR. STULTING: No, looking at test/retest
20 for a single site was not part of the protocol. Once
21 again, the protocol was developed back in 1997 when
22 these kinds of questions were really not at the top of

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1 our minds and we weren't looking for a technology that
2 gave us the ability to discriminate a .6 percent loss
3 from a 1.6 percent loss over a period of a year.
4 Those parts of protocol that we might want to design
5 today for a very scientifically rigid investigation
6 were not part of the investigation that we are
7 reporting today.

8 DR. WEISS: We do not have the amount of
9 time to have the sponsor coming up to the podium at
10 this point so we are going to have to continue along
11 with these questions.

12 Dr. Bradley. Oh, I'm sorry. Dr. McMahon.

13 DR. McMAHON: This is my second question
14 and it's in a completely different area. I was
15 troubled by the number and percentage of protocol
16 deviations. I think it was as high as over 20 percent
17 and I'm kind of concerned as to what the rationale for
18 that was. There are a couple of cases identified.

19 In particular, initially three comments or
20 comments about three patients with pupils larger than
21 the optic and now new statements saying that there's
22 more than that. There's protocol instructions and

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1 inclusion/exclusion that prohibits that and what is
2 the justification for all these?

3 DR. STULTING: We tried to address this
4 question in the presentation since it was raised in
5 some of the comments from the panel that were
6 forwarded to the sponsor. The original protocol that
7 was designed in 1997 had exclusion and inclusion
8 criteria.

9 For example, for astigmatic error that was
10 present before surgery patients who were high myopes
11 who had no other choice of refractive procedures
12 requested ARTISAN implantation. Their surgeons
13 requested it from the sponsor. The sponsor requested
14 protocol deviations for the FDA for use
15 compassionately in these patients and it was granted.

16 As the time went by eventually in the year
17 of 2000 the FDA and the sponsor expanded the protocol
18 inclusion criteria so that patients with anterior
19 chamber depths less than 3.2 mm, pupil sizes greater
20 than the optic size and high astigmatism could be
21 implanted with informed consent and so these patients
22 were later included in the protocol and that's how

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1 they got in there.

2 It wasn't because investigators enroll
3 people that they shouldn't enroll. It was because the
4 indications were expanded with informed consent,
5 knowledge of the Agency, and a decision on the part of
6 the sponsor.

7 DR. McMAHON: So the FDA okayed each one
8 of these?

9 MR. MCCARLEY: This is Rick McCarley.
10 Initially we received very few requests for protocol
11 deviations in our study. As time progressed and we
12 believe as surgeons became more comfortable with the
13 procedure, the surgical technique itself, they started
14 to receive more patients and see more patients that
15 they believe could be assisted by it but, in fact, at
16 the same would not be compromised.

17 We started to get more and more requests
18 for protocol deviations. We worked with the FDA, the
19 Agency, to create an arm, a substudy. It's called
20 Protocol Deviation Substudy. All of the criteria
21 except a certain list of items that Dr. Stulting
22 mentioned were included. These patients signed an

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1 additional informed consent on top of the normal
2 informed consent for the study.

3 DR. WEISS: I would just follow through
4 and I'm just repeating what you said. What percentage
5 of the protocol deviations were granted with the
6 update approval and what percent were not?

7 MR. McCARLEY: All of them were --

8 DR. WEISS: A hundred percent.

9 MR. McCARLEY: A hundred percent were
10 known by the FDA or approved by the FDA. We either
11 gained approval from the FDA on a one-by-one basis
12 before the substudy started and after the substudy
13 started they were approved by the institutional review
14 boards. The patient included them in the normal
15 enrollment.

16 DR. WEISS: So 100 percent were approved
17 by the FDA before they had the surgery.

18 MR. McCARLEY: Correct.

19 DR. WEISS: Dr. Bradley.

20 DR. BRADLEY: The sponsor emphasized that
21 the procedure led to improved visual acuity and
22 improved contrasensitivity. I wondered if the sponsor

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1 had evaluated the relative importance of image
2 magnification and image quality on these changes in
3 acuity in contrasensitivity?

4 DR. STULTING: We recognize image
5 magnification and we recognize the potential
6 improvement in image quality because of the placement
7 of the corrective lens but nothing was designed in the
8 protocol to look at these things specifically,
9 objectively, and scientifically other than the
10 collection of data that you have in front of you.

11 DR. WEISS: Dr. Macsai.

12 DR. MACSAI: I just want to first follow
13 up on your comment, Dr. Stulting. This increased
14 visual acuity that was shown on the slides during your
15 presentation is accountable due to the magnification
16 of the IOL. Correct?

17 DR. STULTING: Some of it is. That's
18 correct.

19 DR. MACSAI: And in your contrast
20 sensitivity studies, how was the contrast sensitivity
21 measured preoperatively as for point of comparison?
22 Was it measured in spectacles?

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1 DR. STULTING: Yes.

2 DR. MACSAI: So what did you expect if
3 someone is -12 in their spectacles that their contrast
4 sensitivity would be decreased versus that with an
5 intraocular lens?

6 DR. STULTING: Are you asking --

7 DR. MACSAI: Would you expect these
8 results from placement of an intraocular lens?

9 DR. STULTING: I think I would and I think
10 I would be pleased.

11 DR. MACSAI: It's because of the
12 difference between the spectacle and the movement of
13 the lens inside the eye and the lack of changes in
14 refractive index.

15 DR. STULTING: That's probably correct.

16 DR. MACSAI: Okay.

17 DR. WEISS: Dr. Grimmer.

18 DR. GRIMMETT: Dr. Michael Grimmer. My
19 first question was already addressed by Dr. Macsai and
20 Bradley regarding magnification. The second point I
21 wanted to make was that Dr. Stulting showed a slide
22 regarding the lack of change and hexagonality and

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1 coefficient of variation. This was a fairly young
2 cohort of patients, I think, ranging from 21 to 50
3 something with an average range in the 30s, I believe.

4 As Dr. Edelhauser confirmed on our October
5 meeting when I asked him the same question, a young
6 cohort can have a very robust endothelium and really
7 mask the morphometric data so it's stress factors that
8 we all think of regarding these changes which may
9 manifest in an older subgroup can be completely hidden
10 in populations this young. I just wanted to point out
11 that fact when we considered the endothelial data.
12 That's all I have.

13 DR. WEISS: I had one other question in
14 terms of trauma dislocating this lens. Would you then
15 advise patients who were in careers such as boxing or
16 hockey or whatever that that would be a
17 contraindication to having the lens? Basketball
18 depending on how you play it?

19 DR. STULTING: I think that's a reasonable
20 suggestion.

21 DR. WEISS: Dr. Mathers.

22 DR. MATHERS: Dr. Bill Mathers. I seem to

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1 feel a sort of disparity between the study -- the mean
2 of the study group and that which the sponsor is
3 asking permission to include later. The mean here was
4 39 years of age and -12 refraction. It might be that
5 this is actually the group that you feel that this
6 lens is the most advantageous for and has the greatest
7 impact.

8 In fact, one could say that Dr. Stulting's
9 comment that there is no other choice for some of
10 these people may be a factor but you are requesting
11 permission for patients down to 20 and a refractive
12 error that is much lower than this. How do you --
13 help me with feeling how these two groups actually
14 compare and the justification for using it in a larger
15 group.

16 DR. STULTING: The profile of the
17 refractive -- of the patient population in this study
18 pretty much parallels the clinical practices and the
19 refractive populations that are part of publications
20 for refractive procedure in the literature so far.
21 The mean age, in fact, for all of these is pretty
22 consistent at 39.

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1 As a consumer of this technology, I would
2 like to have it available to me to use in
3 circumstances for I feel it is appropriate. There may
4 be a relatively young patient who has a relatively
5 thin cornea who would be an appropriate candidate for
6 it based on parameters other than age.

7 I would like to have it in my
8 armamentarium so that I can offer it to that person.
9 I think that the selection of procedures for
10 refractive surgery has to be based on many more things
11 than the refractive error and the age.

12 DR. WEISS: Dr. Casey.

13 DR. CASEY: Richard Casey. Dr. Thompson,
14 you showed a slide and you made the comment that there
15 was no iris vessel disfunction or leakage in patients
16 in this study, but the title of the slide was an
17 angiogram two months post-op with an aphakic IOL. My
18 question is was there a systematic evaluation by
19 angiogram of patients in this study?

20 DR. THOMPSON: No. We didn't do
21 angiography in this study. We were basically showing
22 that because some of the disadvantages of other

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1 implants in the past than chronic cell and inflair
2 from vessel leakage and we wanted to show the
3 integrity of the blood/aqueous barrier for this lens.

4 DR. CASEY: My question was related to
5 there was a small number of minority patients in this
6 study and we know that there are patients that have
7 different -- the iris is of different thickness and
8 different vascular density and so issues of
9 inflammation could be important in different
10 subpopulations. If it wasn't done, it wasn't done.

11 My second question is can you tell us
12 anything about the endothelial cell loss in those
13 patients who required a second surgical procedure and
14 were they followed after the second procedure to
15 determine if there was any accelerated rate of
16 attrition of endothelial cells?

17 DR. STULTING: We can try to get those
18 numbers specifically for you after lunch but I can
19 reiterate the point that I made before and that is
20 that the site that had most of the secondary surgical
21 procedures was one of the sites that was in the
22 recounted endothelium cohort so we have a good bit of

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1 information on those patients in particular. Let me
2 make a note of that and we'll try to get the data.
3 The specific question is endothelial cell counts on
4 people with secondary procedures.

5 DR. CASEY: Yes.

6 DR. WEISS: Dr. Coleman.

7 DR. COLEMAN: Yes, this is Anne Coleman.
8 I had a question regarding your exclusion criteria for
9 patients with glaucoma. How is that determined for
10 those individuals to be excluded? Was it by visual
11 field and optic nerve evaluation, or was that by
12 clinical judgment?

13 DR. STULTING: I'm afraid we didn't
14 probably use the strict criteria that a good glaucoma
15 specialist would request. It was based on clinical
16 diagnosis and the use of medications.

17 DR. COLEMAN: And then --

18 DR. STULTING: A refractive surgeon's
19 diagnosis, I guess.

20 DR. COLEMAN: And then at one, two, and
21 three years how many of the patients were on chronic
22 glaucoma medications for maintenance of intraocular

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1 pressure?

2 DR. STULTING: Ten out of 1,147.

3 DR. COLEMAN: Thank you.

4 DR. WEISS: Dr. Van Meter.

5 DR. VAN METER: Woody Van Meter. A couple
6 of questions for Dr. Stulting. Early on in your
7 presentation you were talking about training and
8 mentioned that all investigators were trained for this
9 study in the United States. Is that correct?

10 DR. STULTING: That's correct.

11 DR. VAN METER: We had anecdotal evidence
12 from Dr. John about multiple procedures done in South
13 America and South Africa and those patients were not
14 part of this study. Dr. Grimmett has already covered
15 my concerns about the statistical legitimacy of the
16 endothelial data and I guess we can talk about that
17 later.

18 On slide No. 60 that you showed, there was
19 reference to a 56-year-old with a preexisting cataract
20 who had a family history of cataracts who had an
21 ARTISAN lens implanted. That seems to be a little bit
22 outside the box.

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1 MR. McCARLEY: Rich McCarley. There were
2 actually two patients in the study that had a family
3 history of cataracts but that wasn't found out until
4 after the patient had actually received the implant.
5 In one case I'm very familiar with, the surgeon
6 implanted the first eye and six months later was
7 getting ready to implant the second eye and noticed
8 the cataract in the first eye. Upon further interview
9 with the patient found out, in fact, it was familial.

10 DR. VAN METER: Well, I'm not concerned
11 about the family history as much as I am the 56-year-
12 old who was already presbyopic and nearing cataract
13 age anyway must have had it noticed beforehand since
14 it was called a preexisting cataract.

15 MR. McCARLEY: It wasn't and the patient
16 chose the surgery. The surgeon felt that there was a
17 possibility that it wasn't likely to develop.

18 DR. VAN METER: Okay. Thank you.

19 Dr. Stulting, slide 80 you mentioned that
20 proper training will reduce the incidence of
21 complications. Since we have data here from the
22 finest surgeons in the world doing these cases, how

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1 are you going to alter the training technique that is
2 listed in slide 102 to reduce complications when mere
3 mortals try to do the surgery?

4 Slide 102 lists a number of objectives
5 from training, most of which I believe are already, as
6 I peripherally understand it, part of the ARTISAN
7 training program. Can you tell me how you are going
8 to change the training?

9 DR. STULTING: I'm not exactly sure that I
10 understood the question. Could you repeat it?

11 DR. VAN METER: Yes, sir. On slide 70 you
12 mentioned that proper training will reduce the
13 incidence of complications. Slide 102 you list the
14 training proposal but, as I understand it, this
15 training proposal is pretty much how training has
16 existed for ARTISAN investigators.

17 DR. STULTING: I don't think -- there is
18 no question that this surgery is different from what
19 ophthalmologists are used to performing as you could
20 see from the video clip. There is bimanual dexterity
21 that is involved. It's a little bit greater than the
22 bimanual dexterity that we are used to having in other

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